Proposal Subject: Restricted Use Shellstock Definition

Specific NSSP Section II Model Ordinance

Guide Reference: Definitions;

Chapter X. General Requirements for Dealers;

Chapter XI. Shucking and Packing; Chapter XIII. Shellstock Shipping; and

Chapter XIV. Depuration

Section IV. Guidance Documents Chapter IV. Naturally Occurring Pathogens

.02 Vibrio vulnificus Management Plan

.03 Vibrio parahaemolyticus Control Plan Guidance

Section V. NSSP Approved Forms

Text of Proposal/ Requested Action: Approve interim controls adopted by the ISSC Executive Board effective November 15, 2010.

Definitions: Add new definition and renumber as appropriate:

Restricted Use Shellstock means shellstock that is harvested from growing areas classified as approved under conditions that do not allow the sale of the shellstock for direct marketing for raw consumption. Restricted use shellstock is identified with a tag indicating that the shellstock is intended for further processing prior to distribution to retail or food service.

Model Ordinance

Chapter X. General Requirements for Dealers

- .01 General HACCP Requirements
 - C. Contents of the HACCP Plan
 - (2) List the critical control points...
 - (c) Critical control points shall be designed to ensure that shellstock received with restricted use tags is processed consistent with the stated purpose. For Shellstock tagged for restricted use, critical control points shall be included in the Certified Dealer's HACCP plan to ensure that the shellstock is shipped to another Certified Dealer with the restricted use tag or processed consistent with the stated purpose
- .05 Shellstock Identification
 - B. Tags
 - (4) If the shellstock is removed from the original container, the tag on the new container shall meet the requirements in §.05 B. If the shellstock is received bearing a restricted use tag all specific use language shall be transferred to the new shipping tag.

- E. All restricted use shellstock shall include a tag containing all information required in § .05 of Model Ordinance Chapter X. In addition the tag will include specific language detailing the intended use of the shellstock.
- **FE.** Transaction Record. If shellstock are sold in bulk, the dealer shall provide a transaction record prior to shipment. This transaction record shall contain all the information required in §.05 B. with the addition of the name of the consignee.

Chapter XI. Shucking and Packing

- .01 Critical Control Points
 - E. Shellstock Shipping Critical Control Point
 - (1) The dealer shall ensure that Shellstock that is received bearing a restricted use tag shall only be shipped to a certified dealer and shall include specific language detailing the intended use of the shellstock.

Chapter XIII. Shellstock Shipping

- .01 Critical Control Points
 - E. Shellstock Shipping Critical Control Point
 - (1) Shellstock that is received bearing a restricted use tag shall only be shipped to a certified dealer and shall include specific language detailing the intended use of the shellstock.

Chapter XIV. Reshipping

- .01 Critical Control Points
 - E. Shellstock Shipping Critical Control Point
 - (1) Shellstock that is received bearing a restricted use tag shall only be shipped to a certified dealer and shall include specific language detailing the intended use of the shellstock.

Section IV. Guidance Documents Chapter IV. Naturally Occurring Pathogens

.02 Vibrio vulnificus Management Plan

(1) Shellstock Harvested in Source States

Harvesters must include on the tag of all product harvested for restricted use the statement "for shucking by a certified dealer" and/or "For PHP Only." Harvesting controls must be provided by the authority to ensure that restricted use shellstock is not diverted to retail or food service. Dealers must establish a restricted use shellstock Critical Limit as part of their HACCP Plan for receiving. A shipping Critical Control Point must include a restricted use shellstock disposition step. Restricted use shellstock is not intended for retail or food service.

.03 Vibrio parahaemolyticus Control Plan Guidance

- B. Vibrio parahaemolyticus Control Plan
 - (3) Plan Effectiveness as Demonstrated by:
 - (d) The authority must notify harvesters and dealers of those areas restricted to harvest for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing or "For PHP Only." Harvesters must include on the tag of all product harvested in these areas the statement for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing or "For PHP Only." Harvesting controls must be provided by the Authority to ensure that restricted use shellstock is not diverted to retail or food service. Dealers must establish a for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing, or "For PHP Only" labeling Critical Limit as part of their HACCP plan for receiving. A shipping Critical Control Point must include for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing, or "For PHP Only" labeling requirement. Restricted Use Shellstock is not intended for retail or food service.

Section V. NSSP Approved Forms

Approve forms for:

- 1. Restricted Use Shellstock (Shucking or PHP)
 Shellfish Harvest/Purchase Record
- 2. Restricted Use Shellstock (Shucking or PHP) Sales/Disposition Record

Public Health Significance:

Cost Information (if available):

SHELLFISH HARVEST/PURCHASE RECORD

<u>Lot #</u>	Quantity	<u>Species</u>	<u>Harvest</u> <u>Area</u>	<u>Harvest</u> <u>Date</u>	Purchase Date	Received from (Harvester or Certified Dealer ID)
					<u> </u>	
					<u> </u>	<u> </u>
					<u> </u>	
					<u> </u>	
]
					<u> </u>	

SALES/DISPOSITION RECORD

<u>Lot #</u>	Date Sold or Processed	Sold To Dealer Cert # (N/A if Processed)	Quantity Sold Unprocessed	Quantity Processed
	<u> </u>			
	<u> </u> 		<u> </u>	<u> </u>
	<u> </u>			
				<u> </u>
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Action by 2011 Task Force II

Recommended approval of Proposal 11-200 as amended.

Restricted Use Shellstock means shellstock that is harvested from growing areas classified as approved <u>or conditionally approved in the open status and</u> under conditions that do not allow the sale of the shellstock for direct marketing for raw consumption. Restricted use shellstock is identified with a tag indicating that the shellstock is intended for further processing prior to distribution to retail or food service.

Model Ordinance

Chapter X. General Requirements for Dealers

- .01 General HACCP Requirements
 - C. Contents of the HACCP Plan
 - (2) List the critical control points...
 - (c) Critical control points shall be designed to ensure that shellstock received with restricted use tags is processed consistent with the stated purpose. For Shellstock tagged for restricted use, critical control points shall be included in the Certified Dealer's HACCP plan to ensure that the shellstock is shipped to another Certified Dealer with the restricted use tag or processed consistent with the stated purpose
- .05 Shellstock Identification
 - B. Tags
 - (4) If the shellstock is removed from the original container, the tag on the new container shall meet the requirements in §.05 B. If the shellstock is received bearing a restricted use tag all specific use language shall be transferred to the new shipping tag until processed consistent with the stated purpose.
 - E. All restricted use shellstock shall include a tag containing all information required in § .05 of Model Ordinance Chapter X. In addition the tag will include specific language detailing the intended use of the shellstock until processed consistent with the stated purpose.
 - F. Transaction Record. If shellstock are sold in bulk, the dealer shall provide a transaction record prior to shipment. This transaction record shall contain all the information required in §.05 B. with the addition of the name of the consignee.

Chapter XI. Shucking and Packing

- .01 Critical Control Points
 - E. Shellstock Shipping Critical Control Point

(1) The dealer shall ensure that Shellstock that is received bearing a restricted use tag shall only be shipped to a certified dealer and shall include specific language detailing the intended use of the shellstock.

The transaction record shall indicate the quantity of restricted use shellstock containers.

Chapter XIII. Shellstock Shipping

- .01 Critical Control Points
 - E. Shellstock Shipping Critical Control Point
 - (1) Shellstock that is received bearing a restricted use tag shall only be shipped to a certified dealer and shall include specific language detailing the intended use of the shellstock. The transaction record shall indicate the quantity of restricted use shellstock containers.

Chapter XIV. Reshipping

- .01 Critical Control Points
 - E. Shellstock Shipping Critical Control Point
 - (1) Shellstock that is received bearing a restricted use tag shall only be shipped to a certified dealer and shall include specific language detailing the intended use of the shellstock. The transaction record shall indicate the quantity of restricted use shellstock containers.

Section IV. Guidance Documents Chapter IV. Naturally Occurring Pathogens

- .02 Vibrio vulnificus Management Plan
 - (l) Shellstock Harvested in Source States
 Harvesters must include on the tag of all product harvested for restricted use the
 statement "for shucking by a certified dealer" and/or "For PHP Only."
 Harvesting controls must be provided by the authority to ensure that restricted
 use shellstock is not diverted to retail or food service. Dealers must establish a
 restricted use shellstock Critical Limit as part of their HACCP Plan for
 receiving. A shipping Critical Control Point must include a restricted use
 shellstock disposition step. Restricted use shellstock is not intended for retail
 or food service.
- .03 Vibrio parahaemolyticus Control Plan Guidance
 - B. Vibrio parahaemolyticus Control Plan
 - (3) Plan Effectiveness as Demonstrated by:
 - (d) The authority must notify harvesters and dealers of those areas restricted to harvest for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing or "For PHP Only." Harvesters must include on the tag of all product harvested in

these areas the statement for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing or "For PHP Only." Harvesting controls must be provided by the Authority to ensure that restricted use shellstock is not diverted to retail or food service. Dealers must establish a for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing. or "For PHP Only" labeling Critical Limit as part of their HACCP plan for receiving. A shipping Critical Control Point must include for shucking by a certified dealer, or other means to allow the hazard to be addressed by further processing. or "For PHP Only" labeling requirement. Restricted Use Shellstock is not intended for retail or food service.

Section V. NSSP Approved Forms

Approve forms for:

- 1. Restricted Use Shellstock (Shucking or PHP) Shellfish Harvest/Purchase Record
- 2. Restricted Use Shellstock (Shucking or PHP) Sales/Disposition Record

Action by 2011 General Assembly

Adopted recommendation of 2011 Task Force II on Proposal 11-200.

Action by FDA February 26, 2012

Concurred with Conference action on Proposal 11-200.

Restricted	Use Shel	lstock (S	Shucking or	· PHP)
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Certified Dealer #	
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SHELLFISH HARVEST/PURCHASE RECORD

Lot #	Quantity	Species	Harvest Area	Harvest Date	Purchase Date	Received from (Harvester or Certified Dealer ID)
		<u> </u>				<u> </u>

Restricted	Use Shellstock (Shucking or	PHP)
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Certified Dealer #	
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SALES/DISPOSITION RECORD

Lot#	Date Sold or Processed	Sold To Dealer Cert # (N/A if Processed)	Quantity Sold Unprocessed	Quantity Processed